#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q81505

Akira BANDOH, et al.

Appln. No.: 10/585,744

Group Art Unit: 2811

Confirmation No.: 1506

Examiner: Not Yet Assigned

Filed: July 12, 2006

For: N-TYPE GROUP III NITRIDE SEMICONDUCTOR LAYERED STRUCTURE

# REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

ATTN: Office of Initial Patent Examination

Filing Receipt Correction Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction:

# **Assignment For Published Patent Application**

## SHOWA DENKO K.K

Verification for the requested correction is indicated on the U.S. Assignment filed July 12, 2008.

Respectfully submitted,

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373
CUSTOMER NUMBER

Date: 7/18/08

Abraham J. Rosner

Registration No. 33,276



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

A	APPLICATION	FILING or	GRP ART				r —
	NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
1	0/585,744	07/12/2006	2811	1500	Q81505	32.	1

CONFIRMATION NO. 1506 FILING RECEIPT

23373 SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037

DOCKETED

Date Mailed: 06/16/2008

JUN 1 7 2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Akira Bandoh, Chiba, JAPAN; Hiromitsu Sakai, Chiba, JAPAN; Masato Kobayakawa, Chiba, JAPAN; Mineo Okuyama, Chiba, JAPAN; Hideki Tomozawa, Chiba, JAPAN; Hisayuki Miki, Chiba, JAPAN; Joseph Gaze, Chiba, JAPAN; Syunji Horikawa, Tokyo, JAPAN;

Tetsuo Sakurai, Chiba, JAPAN;
ASSIGNMENT FOR REUISHED PHTENT APPLICATION
SHOWN DEUKO K.K.

Power of Attorney: The patent practitioners associated with Customer Number 23373

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/08461 04/27/2005 which claims benefit of 60/570,135 05/12/2004 and claims benefit of 60/585,919 07/08/2004

Foreign Applications

JAPAN 2004-131617 04/27/2004 JAPAN 2004-153709 05/24/2004 JAPAN 2004-165406 06/03/2004 JAPAN 2004-193744 06/30/2004 JAPAN 2004-213423 07/21/2004 JAPAN 2005-031374 02/08/2005

#### If Required, Foreign Filing License Granted: 06/12/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/585.744** 

Projected Publication Date: 09/25/2008

Non-Publication Request: No

Early Publication Request: No

Title

N-Type Group III Nitride Semiconductor Layered Structure

**Preliminary Class** 

257

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### LICENSE FOR FOREIGN FILING UNDER

# Title 35, United States Code, Section 184

### Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# **U.S. ASSIGNMENT**

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter "ASSIGNOR") by

	the undersigned inventor(s) (hereinaf	ter "ASSIGNOR") by						
(Insert	SHOWA DENKO K.K.							
ASSIGNEE's Name(s) Address(es))	13-9, Shibadaimor	n 1-chome, Minato-ku,	Tokyo 1058518, Japan					
Addieso(es))			•					
		ceipt of which is hereby acknowledged and exclusive right, title and interest	I, the undersigned ASSIGNOR hereby sells, assigns to the invention entitled					
(Title of Invention)	N-TYPE GROUP I I	I NITRIDE SEMICONDUCT	OR LAYERED STRUCTURE					
		2005 00046						
	relating to International Patent Ap of the United States was executed	polication PCT/JP $2005/00846$ on even date herewith or, if not so ex	and/or for which application for Letters Pa ecuted, was:					
Insert date	(a) executed on	; [(						
f execution f application,	(b) filed on	) i	s hereby authorized to insert in (b) the specified					
not oncurrent)	Serial No/_	data, when known.						
	and to said application and all Letters Patent(s) of the United States granted on said application and any continuation, division, renewal, substitute, reissue or reexamination application based thereon, for the full term or terms for which the said Letters Patent(s) may be granted and including any extensions thereof (collectively, hereinafter, "said application(s) and Letters Patent(s)").							
	The ASSIGNOR agree(s), when requested by said ASSIGNEE and without charge to but at the expense of said ASSIGNEE, to do all acts which the ASSIGNEE may deem necessary, desirable or expedient, for securing, maintaining and enforcing protection for said invention, including in the preparation and prosecution of said application(s) and the issuance of said Letters Patent(s), in any interference, reissue, reexamination, or public use proceeding, and in any litigation or other legal proceeding which may arise or be declared in relation to same, such acts to include but not be limited to executing all papers, including separate assignments and declarations, taking all rightful oaths, providing sworn testimony, and obtaining and producing evidence.							
	IN WITNESS WHERE	OP, the undersigned inventor(s) has (	nave) affixed his/her/their signature(s).					
	Obira Randoh	Akira Bandoh	March 1, 2006					
Signatures)	1) Akira Bandoh (SIGNATURE)	(TYPE NAME)	(DATE)					
	in the state of the	Hiromitsu Sakai						
	2 Hiromitsu Sakai (SIGNATURE)	(TYPE NAME)	March 1, 2006 (DATE)					
	MA 12 1 1		,					
	31 Masatu Kobayakai (SIGNATURE)	Masato Kobayakawa (TYPE NAME)	March 1, 2006					
	(PIGIMIONE)	(iii e Mane)	(DATE)					
	Mineo Okuyama	Mineo Okuyama	March 1, 2006					
	(SIGNATURE)	(TYPE NAME)	(DATE)					

9111 9		
5) Hober with of (SIGNATURE)	Hideki Tomozawa	March 1, 2006
(SIGNATURE)	(TYPE NAME)	(DATE)
u that.		
6) Hisayu K. Miki	Hisayuki Miki	March 1, 2006 (DATE)
(SIGNATURE)	(TYPE NAME)	(DATE)
Arca II		M
7) (SIGNATURE)	Joseph Gaze (TYPE NAME)	March 1, 2006
(SIGNATURE)	(IIFE NAME)	(DAIE)
Svunii Horikawa	Syunji Horikawa	M1 4 0005
8) Syunji Horikawa (SIGNATURE)	(TYPE NAME)	March 1, 2006
(oldmidal)		(51112)
Tetow Spharki	Tetsuo Sakurai	March 1 2006
9) Tetsov Sakarai (SIGNATURE)	(TYPE NAME)	March 1, 2006 (DATE)
	<b>,</b> ,	, ,
(SIGNATURE)	(TYPE NAME)	(DATE)
113		
(SIGNATURE)	(TYPE NAME)	(DATE)
12)	·	
(SIGNATURE)	(TYPE NAME)	(DATE)
(SIGNATURE)		
(SIGNATURE)	(TYPE NAME)	(DATE)
(SIGNATURE)		
(SIGNATURE)	(TYPE NAME)	(DATE)
(SIGNATURE)	(TYPE NAME)	(DATE)
(SIGNATURE)	(life NAME)	(DRIE)
(SIGNATURE)	(TYPE NAME)	(DATE)
(BISIMI SILL)	(III Mail)	(DAKED)
(SIGNATURE)	(TYPE NAME)	(DATE)
,,	,,	,,
10)		
(SIGNATURE)	(TYPE NAME)	(DATE)
10)		
(SIGNATURE)	(TYPE NAME)	(DATE)
20)		
(SIGNATURE)	(TYPE NAME)	(DATE)